

Initial application form for existing investors in a different Bennelong fund

LCP Insurance Linked Securities Fund


This form is for investors who are already invested in one of the following Bennelong funds and wish to apply into the **LCP Insurance Linked Securities Fund for the first time**.

- 4D Global Infrastructure Fund (Unhedged)
- 4D Global Infrastructure Fund (AUD Hedged)
- Allspring Global Income Fund – Class A
- Bennelong Australian Equities Fund
- Bennelong Concentrated Australian Equities Fund
- Bennelong ex-20 Australian Equities Fund
- Bennelong Twenty20 Australian Equities Fund
- Bennelong Emerging Companies Fund – Class A
- Bennelong Emerging Companies Fund – Class S
- Quay Global Real Estate Fund (Unhedged) Active ETF*
- Quay Global Real Estate Fund (AUD Hedged) Active ETF*
- Skerryvore Global Emerging Markets All-Cap Equity Fund

If you have an **existing investment** in the fund you wish to apply into, please use the fund's Additional investment form, available on our website (bennelongfunds.com/forms).

If you are investing in a Bennelong fund **for the first time (i.e. you have no existing money invested in any our funds)**, please use the New investor application form, which can be completed online or downloaded from our website (bennelongfunds.com/invest).

This is an electronic form, so you can type directly into the provided boxes. If you prefer to handwrite your information, please use capital letters and black or blue ink, and mark boxes with an X. If you make an error, initial the change. Do not use correction fluid.

 If you have any questions, contact Bennelong Funds Management **Client Experience** on **1800 895 388 (Australia)** or **0800 442 304 (New Zealand)** or email client.experience@bennelongfunds.com.

Please return all pages, including the cover page.

Once you have completed this form, please post, fax or email it to:



Bennelong Funds Management Ltd
C/- Citi Unit Registry Australia
GPO Box 764
Melbourne VIC 3001, Australia



Attention: Bennelong Funds
Management Ltd
C/- Citi Unit Registry Australia
(+61) 1300 989 813



Attention: Bennelong Funds
Management Ltd
client.experience@bennelongfunds.com

Important information

You should read the Fund's latest Product Disclosure Statement (PDS) (available by contacting Client Experience) before completing this form. In order to apply into a wholesale fund or class, you must be a wholesale client as classified for the purposes of the Corporations Act 2001.

References to a PDS in this form refer to the PDS of the fund into which you are making an application, including any information incorporated by reference in that PDS in accordance with the Corporations Act.

Note: All information provided is in accordance with the Privacy Act 1988 and its amendments. Bennelong Funds Management's Privacy Policy is available on our website (bennelongfunds.com/privacy) or by contacting Client Experience.

1. Target market

Are you a financial adviser or a, wholesale, professional and/or sophisticated investor as defined under s761G of the Corporations Act?

Yes - (you do not need to complete the rest of this section)
Proceed to **Section 2. Current account details.**

No

Have you received personal financial advice from a licensed adviser in relation to this investment?

Yes - (you do not need to complete the rest of this section)
Proceed to **Section 2. Current account details.**

No

By law you are required to answer all of the below questions before we can process your application.

If we determine you are not within the target market for the Fund to which you are applying, we may not be able to accept your investment.

What is your primary investment objective?

Capital growth

Capital preservation

Income distribution

What is your intended use of this investment in your investment portfolio?

Solution/Standalone (up to 100%)

Major allocation (up to 75%)

Core component (up to 50%)

Minor allocation (up to 25%)

Satellite allocation (up to 10%)

What is your intended investment timeframe?

3+ years

5+ years

7+ years

What is your tolerance for risk (your ability to bear loss)?

Low

Medium

High

Very high

Extremely high

What do you anticipate your withdrawal needs may be?

Within 5 business days of request

Within 10 business days of request

Within one month of request

Within one year of request

2. Current account details

Investor number (8 digits):

2	8	0					
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Account name (this must EXACTLY match your existing account name e.g. "Ben and Nell Long ATF The Bennelong Super Fund"):

3. Investment details

Specify your initial application amount below. The minimum investment amount is \$4000,000. Please consult the funds latest Product Disclosure Statement (PDS) for more information on minimum application amounts.

Fund name

Class of Units (if relevant)

APIR Code (if relevant)

LCP Insurance Linked Securities Fund

AUD Class

BFL9371AU

Application amount

\$

Payments need to be made via EFT/direct credit to the Administrator/Custodian's bank account:

Bank: Citibank NA, Australia
BSB no: 242 000
Account no: 245669003
Account name: Bennelong LCP Apps Account
Deposit reference: Investing entity's name

Note:

Transfers can only be made from your (i.e. the investing entity's) bank account. Third party transfers are not accepted.

Please ensure you add the correct deposit reference to your transfer; failing to do so may result in delays unitising your deposit.

4. Distribution election

Select how you wish distributions to be processed. If no selection is made, income distributions will be reinvested.

- Reinvest my distributions
- Pay my distributions into my pre-nominated bank account

If you wish to change your bank account details, please complete the Change of personal details form available on our website (bennelongfunds.com/forms).

5. Bank account details

- Pay any future redemptions (and distributions if applicable) into the bank account held on file

OR

If you would like to provide new bank account details for redemption and distribution payments (if applicable) for all your investments, please provide them below. The account must be held in the same name as the account holder supplied above, and domiciled in Australian dollars. **If you are nominating a new bank account you must post this original signed form to us.**

Australian financial institution/bank

BSB number

Account number

Account name

6. Identification and documentation

We are required by law to collect information about and verify an investor's identity prior to issuing them units in a Bennelong fund. The Anti-Money Laundering and Counter-Terrorism Financing Act ('AML/CTF Act') obliges us to collect identification documents and other supporting information to verify the identity of our investors, the source or destination of any payment to or from a fund, or any other purpose pursuant to the AML/CTF Act.

Can ID documents held on file be used?

We can use the identification documents provided by you or your agent for a previous initial application as long as:

- the documentation you supplied to us is still accurate. If any amendments have been made (e.g. changes to trustees, beneficiaries or beneficial owners), you will need to supply us with the necessary documentation approving the changes; and
- certified identification documentation has not expired.

Note: if the original application and AML documentation we have on file for you is more than five years old, or you are a Politically Exposed Person, we may need you to fill out an Initial application form and supply new AML documentation.

If you wish to clarify whether the identification and supporting documentation we have on file is still accurate and/or has not expired, please contact our Client Experience team using the details on the cover page of this form.

7. Declaration and signatures

I/We acknowledge and declare that:

- I/We agree to be bound by the Constitution for the Fund (as amended from time to time).
- I/We have read the current version of the Fund's PDS (available at bennelongfunds.com/forms) and understand the conditions of investing in the Fund as set out in the PDS.
- I/We have received and accepted this offer in Australia.
- All the information provided in this application form is true and correct.
- I am an/We are individual/s over 18 years of age, and have the legal power to invest in accordance with this application.
- If this application is signed under Power of Attorney, the attorney has not received notice of any revocation or limitation of that power. Sole signatories signing on behalf of a company are signing as a sole director and sole secretary of the company.
- Bennelong Funds Management Ltd ('BFM') can provide information relating to my/our investment to my/our nominated financial adviser/broker. BFM may also store, disclose and use my personal information in accordance with its Privacy Policy which can be found at bennelongfunds.com/privacy.
- Should I/we fail to provide, or delay in providing, BFM any information or documentation requested of me/us:
 - my/our application for units may be delayed or refused;
 - any units I/we hold may be compulsorily redeemed; and
 - any disposal request by me/us may be delayed or refused.
- BFM will not be liable for any loss arising from any of the above actions.
- I/We understand the risks associated with an investment in the Fund including those outlined in the PDS.
- BFM reserves the right to reject any application or to allocate to any applicant a lesser number of units than that applied for.
- I/We understand that the value of interests in the Fund may rise and fall, from time to time.
- Investments in the Fund are not deposits with, or other liabilities of, BFM and are subject to investment risk, including possible delays in repayment and loss of income or principal invested. Neither BFM, the Investment Manager nor the Administrator makes any representation as to the performance or success of the Fund or guarantees the performance of the Fund or its underlying investments, the repayment of capital from the Fund or any particular rate of return.
- The Fund may disclose to any service provider or any regulatory body any information concerning me/us as detailed in the Privacy Policy.
- By providing personal information in this application form, I/we understand and consent to the possibility that BFM's administrators may transfer this personal information outside of Australia for processing or other purposes as detailed in the Privacy Policy.
- I/We have read the policy on privacy and personal information contained in the PDS and consent to my/our personal information being used and disclosed as set out in the PDS.
- Application monies will be held in a non-interest-bearing account until applied to the Fund or returned to me/us.
- Withdrawal proceeds, where payable, will only be paid after a request signed by me/us is received and those proceeds will only be paid to my/our nominated bank account. If I/we have not nominated a bank account in this application form or wish to change my/our nominated bank account, I/we understand I/we will be required to provide an original signed instruction nominating the new bank account.

For New Zealand applicants:

- I/We have read the terms of the offer relating to New Zealand investors, including the New Zealand warning statement

AML/CTF terms and conditions

- Each of BFM, the Investment Manager and the Administrator are required to comply with the AML/CTF laws and I/we undertake to provide them with such additional information or documentation as may be requested of me/us, from time to time, to ensure its compliance with such requirements.
- By making this application and holding units in the Fund:
 - I/We will not knowingly do anything to put BFM, the Investment Manager or the Administrator in breach of AML/CTF laws, and agree to promptly notify each of them if I/we am/are aware of anything that would put them in breach of AML/CTF laws.
 - I/We acknowledge that I/we am/are not aware and have no reason to suspect that:
 - the money used to fund my/our investments in the Fund is derived from or related to money laundering, terrorism financing or similar activities (Illegal Activities); and
 - proceeds of my/our investments in the Fund will fund Illegal Activities.
- I/We consent to BFM, the Investment Manager or the Administrator disclosing, in connection with AML/CTF laws, any of my/our Personal Information (as defined in the Privacy Act 1988 (Commonwealth) and its amendments) that they may have.

- I/We acknowledge that in certain circumstances my/our units may be frozen or blocked where it is used in connection with Illegal Activities or suspected Illegal Activities. Freezing or blocking can arise as a result of the monitoring that is required by AML/CTF laws. If this occurs, neither BFM, the Investment Manager nor the Administrator is liable to me/us for any consequences or losses whatsoever and I/we agree to indemnify them if they are found liable to a third party in connection with the freezing or blocking of my/our units.

Tax information

- I/We will provide BFM or its nominee any information that BFM reasonably requires in order to enable BFM to meet all of its compliance, reporting and other obligations under the United States of America Foreign Account Tax Compliance Act ('FATCA') and OECD Common Reporting Standards ('CRS') and all associated rules and regulations from time to time.
- I/We understand that where I/we have provided BFM or its nominee with information about my status or designation under or for the purposes of FATCA, CRS and all associated rules and regulations, BFM will treat that information as true and correct without any additional validation or confirmation being undertaken by BFM except where it is under a legal obligation to do so.

Signatures

Note: all signatures must match the specimen signatures we have on file.

Signature of investor A

Date

Title

First name(s)

Family name

Signature of investor B

Date

Title

First name(s)

Family name

8. What's next?

Thank you for taking the time to fill out this form. Please ensure you have:

- Read and understood the information in the current version of the Fund's PDS (available at bennelongfunds.com/forms)
- Completed ALL sections of the form and printed all pages (including the cover page)
- Signed and dated the form
- Attached your off-market transfer form, or made your direct deposit with the correct deposit reference

Once completed, please post, fax or email this form to Bennelong Funds Management Ltd care of our Administrator (refer to cover page for details).

